

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

In re:) Chapter 15 Case
)
FAIRFIELD SENTRY LIMITED, et al.,) Case No. 10-13164
) (CGM)
)
Debtors in Foreign Proceedings.) Jointly Administered
)
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION), et al.,)
)
Plaintiffs,) Adv. Pro. No. 10-03496
) (CGM)
-against-) Administratively
) Consolidated
THEODOOR GGC AMSTERDAM, et al.,)
)
Defendants.)
)
FAIRFIELD SENTRY LIMITED (IN LIQUIDATION),)
acting by and through the Foreign Representatives thereof,)
and KENNETH KRYSS and GREIG MITCHELL, solely in)
their capacities as Foreign Representatives and Liquidators)
thereof,)
) Adv. Pro. No. 10-03505
Plaintiffs,) (CGM)
)
-against-)
)
BANQUE PRIVEE EDMOND DE ROTHSCHILD)
(EUROPE), AMERICAN EXPRESS BANK n/k/a)
STANDARD CHARTERED INTERNATIONAL (USA))
LTD., and BENEFICIAL OWNERS OF ACCOUNTS)
HELD IN THE NAME OF BANQUE PRIVEE EDMOND)
DE ROTHSCHILD (EUROPE) 1-1000, including without)
limitation BENEFICIAL OWNERS OF ACCOUNTS)
ASSOCIATED WITH REFERENCE AMEX SAIP)
)
Defendants.)

**PLAINTIFFS' STATEMENT OF ISSUES TO BE PRESENTED AND
DESIGNATION OF ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL**

The Plaintiffs hereby submit, pursuant to Rule 8009 of the Federal Rules of Bankruptcy Procedure and Rule 8009-1 of the Local Bankruptcy Rules for the Southern District of New York, this statement of issues to be presented and designation of items to be included in the record on appeal with respect to Plaintiffs' appeal in the above-captioned adversary proceeding before the United States District Court for the Southern District of New York.¹

I. STATEMENT OF ISSUES TO BE PRESENTED ON APPEAL²

1. Did the Bankruptcy Court err in ruling, in its August 6, 2018 Memorandum Decision and Order,³ that the Defendants' consent to the Subscription Agreement does not constitute consent to personal jurisdiction in the U.S. Redeemer Actions?

2. Did the Bankruptcy Court err in ruling, in its December 6, 2018 Memorandum Decision,⁴ that the Section 546(e) and Section 546(g) safe harbors can apply to "purely foreign transfers" targeted for avoidance by Chapter 15 foreign representatives?

3. Did the Bankruptcy Court err in ruling, in its December 6, 2018 Memorandum Decision, that knowledge is a required element of an undervalue transaction claim under BVI law?

4. Did the Bankruptcy Court err in ruling, in its August 14, 2020 Memorandum Decision,⁵ that Plaintiffs were barred from amending their complaints to plead new Constructive Trust Claims in 12 of 29 actions against Knowledge Defendants?

¹ Plaintiffs previously appealed to the District Court in a set of administratively consolidated appeals, *Fairfield Sentry Ltd. (In Liquidation) v. Citibank NA London*, No. 19-cv-03911. That appeal has been fully briefed and pending decision since April 23, 2020.

² Defined terms carry the meaning ascribed to them in the corresponding decision or order appealed from.

³ Memorandum Decision and Order Regarding the Defendants' Motions to Dismiss for Want of Jurisdiction, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 1723].

⁴ Memorandum Decision Granting in Part and Denying in Part Defendants' Motions to Dismiss and Plaintiffs' Motions for Leave to Amend, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 1743].

5. Did the Bankruptcy Court err in ruling, in its December 14, 2020 Memorandum Decision,⁶ that the Section 546(e) safe harbor bars all BVI Avoidance Claims?

II. DESIGNATION OF ITEMS TO BE INCLUDED IN THE RECORD ON APPEAL

Plaintiffs designate the following items to be included in the record on appeal. Plaintiffs designate both the redacted and unredacted versions of the documents marked with an asterisk.

A. Designated entries from the docket of *Fairfield Sentry Ltd. (In Liquidation)*, et al. v. *Banque Privee Edmond De Rothschild (Eur.)*, et al., 10-03505-cgm.

ECF Number	Date	Docket Text
1	08/16/2010	Adversary case 10-03505. Complaint against Banque Privee Edmond De Rothschild (Europe) . Nature(s) of Suit: (14 (Recovery of money/property - other)) Filed by David Molton, Fairfield Sentry Limited, Fairfield Sigma Limited on behalf of Fairfield Sentry Limited, Fairfield Sigma Limited. (Attachments: 1 Exhibit) (Molton, David) (Entered: 08/16/2010)
18	07/16/2012	Amended Complaint against Beneficial Owners of Accounts Associated with Reference AMEX SAIP, American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe), Beneficial Owners of Accounts Held in the Name of Banque Privee Edmond de Rothschild (Europe) 1-1000 (related document(s)15, 1) Filed by Kerry L. Quinn on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys. (Quinn, Kerry) (Entered: 07/16/2012)
24	09/22/2016	Statement /Notice of Filing of Corrected Proposed Amended Complaint (related document(s)23) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A - Corrected Proposed Amended Complaint_Clean (Redacted Version) # 2 Exhibit B - Corrected Proposed Amended Complaint_Redlined (Redacted Version)) (Molton, David) (Entered: 09/22/2016)
25	10/23/2016	Motion to Amend / Notice of Motion of the Foreign Representatives For Leave To Amend filed by David Molton on behalf of Fairfield

⁵ Memorandum Decision Denying Plaintiffs' Motion for Leave to File Further Proposed Amended Complaints In Certain Actions, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 3046].

⁶ Memorandum Decision Granting In Part And Denying In Part Defendants' Renewed Motion To Dismiss, *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-smb [ECF No. 3062].

ECF Number	Date	Docket Text
		Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation) Responses due by 1/13/2017,. (Attachments: # 1 Proposed Order) (Molton, David) (Entered: 10/23/2016)
26	10/23/2016	Memorandum of Law in Support of Motion For Leave To Amend (related document(s)25) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). Objections due by 1/13/2017, (Molton, David) (Entered: 10/23/2016)
27	10/23/2016	Declaration of David J. Molton in Support of Motion For Leave To Amend filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A - Schedule of Proposed Amended Complaints # 2 Exhibit B - Citigroup Global Markets Limited Proposed Amended Complaint_REDACTED # 3 Exhibit C - Huang Proposed Amended Complaint_REDACTED) (Molton, David) (Entered: 10/23/2016)
28	10/23/2016	Declaration of William Hare in Support of Motion For Leave To Amend filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A - Sample Statement of Claim # 2 Exhibit B - Sample Statement of Defence # 3 Exhibit C - Sample Notice of Application # 4 Exhibit D - Notice of Appeal Regarding Direction # 5 Exhibit E - Application for Summary Judgment, 20 September 2011 # 6 Exhibit F - Sentrys Memorandum and Articles of Association # 7 Exhibit G -Defendants Skeleton Argument # 8 Exhibit H - Funds' Skeleton Argument # 9 Exhibit I - Funds' Skeleton Argument in Appeal # 10 Exhibit J - Defendants submissions to the Privy Council # 11 Exhibit K - Funds submissions to the Privy Council # 12 Exhibit L - Excerpts from Privy Council Record on Appeal # 13 Exhibit M - Transcript of Summary Judgment Application, 10 October 2011 # 14 Exhibit N - Judgment_Order of Bannister J, 20 April 2011 # 15 Exhibit O - Judgment, dated 31 May 2011 # 16 Exhibit P - Judgment in respect of Application for Summary Judgment # 17 Exhibit Q - Privy Council Judgment, 16 April 2014 # 18 Exhibit R - Privy Council Order, 8 October 2014 # 19 Exhibit S - Judgment of Leon J dated 11 March 2016) (Molton, David) (Entered: 10/23/2016)
29	10/23/2016	Declaration of Gabriel Moss QC in Support of Motion For Leave To Amend filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Gabriel Moss QC - Curriculum Vitae) (Molton, David) (Entered: 10/23/2016)
30*	10/28/2016	Document Under Seal Per Court Order. Notice of Filing of Proposed Amended Complaint, see Case No. 10-3496 Document No. 909 for Sealing Order. Filed by David Molton on behalf of Fairfield Sigma

ECF Number	Date	Docket Text
		Limited (In Liquidation) , Kenneth Krys . (related document(s)24) (Cappiello, Karen). (Entered: 10/31/2016)
31*	11/14/2016	Document Under Seal Per Court Order. Memorandum of Law In Support of Motion For Leave To Amend (See Lead Adversary Proceeding No.: 10-3496, Document No. 909 for Sealing Order) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), and Kenneth Krys. (related document(s)26) (Suarez, Aurea). (Entered: 12/15/2016)
32*	11/14/2016	Document Under Seal Per Court Order. Declaration of David J. Molton in Support of Motion For Leave To Amend (See Lead Adversary Proceeding No.: 10-3496, Document No. 909 for Sealing Order) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), and Kenneth Krys. (related document(s)27) (Suarez, Aurea) (Entered: 01/11/2017)
35	01/19/2017	Motion to Dismiss Adversary Proceeding , dated January 13, 2017 filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Zulack, John) (Entered: 01/19/2017)
36	01/19/2017	Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)35, 25) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Zulack, John) (Entered: 01/19/2017)
37	01/19/2017	Declaration of Thomas J. Moloney in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)35, 25) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibits A-C # 2 Exhibits D-F) (Zulack, John) (Entered: 01/19/2017)
38	01/19/2017	Declaration of Simon Mortimore, QC in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)35, 25) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibits A-B # 2 Exhibit C part 1 # 3 Exhibit C part 2 # 4 Exhibit C part 3 # 5 Exhibit C part 4 # 6 Exhibit C part 5) (Zulack, John) (Entered: 01/19/2017)
39	01/19/2017	Declaration of Phillip Kite in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 12, 2017 (related document(s)35, 25) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard

ECF Number	Date	Docket Text
		Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A # 2 Exhibits B-N # 3 Exhibits O-P # 4 Exhibits Q-R) (Zulack, John) (Entered: 01/19/2017)
40	01/19/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (related document(s)35, 25) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Zulack, John) (Entered: 01/19/2017)
45	01/27/2017	Motion to Dismiss Adversary Proceeding on Grounds of Forum Non Conveniens filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A) (Zulack, John) (Entered: 01/27/2017)
46	01/27/2017	Supplemental Memorandum of Law of Defendants in the Adversary Proceedings Listed on Exhibit A in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Those Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)35, 25, 45) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Zulack, John) (Entered: 01/27/2017)
47	01/27/2017	Declaration of Phillip Kite in Support of Certain Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Those Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)25, 45) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A) (Zulack, John) (Entered: 01/27/2017)
48	01/27/2017	Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)35) filed by Diane L. McGimsey on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd.. (McGimsey, Diane) (Entered: 01/27/2017)
51	02/01/2017	Declaration (Amended) (this is being filed solely for the purpose of adding Exhibit B) (related document(s)45) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A # 2 Exhibit B) (Zulack, John) (Entered: 02/01/2017)
54	04/02/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Defendants' Consolidated Memorandum of Law and in Further Support of Foreign Representatives' Motion For Leave to

ECF Number	Date	Docket Text
		Amend Complaints [REDACTED] (related document(s)48, 35, 36) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/02/2017)
55	04/02/2017	Declaration of David J. Molton in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss [REDACTED] (related document(s)54) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Entered: 04/02/2017)
56	04/02/2017	Declaration of Gabriel Moss QC in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss (related document(s)54) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A - Part 1 # 2 Exhibit A - Part 2 # 3 Exhibit A - Part 3 # 4 Exhibit A - Part 4 # 5 Exhibit A - Part 5 # 6 Exhibit A - Part 6 # 7 Exhibit A - Part 7) (Molton, David) (Entered: 04/02/2017)
57	04/10/2017	Opposition Brief /Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)54, 48, 46, 35, 36, 45) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
58	04/10/2017	Declaration of David J. Molton in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)57) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Krzyzowski, Marek) (Entered: 04/10/2017)
59	04/10/2017	Declaration of William Hare in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)57) filed by Marek P Krzyzowski on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Compendium - Part 2) (Krzyzowski, Marek) (Entered: 04/10/2017)
60	04/12/2017	Foreign Representatives' Memorandum of Law In Opposition to Standard Chartered International (USA) Ltd's Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Its Motion to Dismiss and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)54, 48, 46, 35, 36, 57, 45) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Molton, David) (Docket Text Modified on 4/12/2017) (Richards, Beverly). (Entered: 04/12/2017)

ECF Number	Date	Docket Text
62	05/30/2017	Reply Memorandum of Law in Further Support of Defendants' Motion to Dismiss (related document(s)35) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Zulack, John) (Entered: 05/30/2017)
64	06/06/2017	Second Declaration of Simon Mortimore, QC, in Further Support of the Defendants' Motion to Dismiss, dated May 26, 2017 (amended solely for purposes of adding Exhibit B) (related document(s)35) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Zulack, John) (Entered: 06/06/2017)
66	06/09/2017	Supplemental Reply to Motion in Further Support of Defendants' Motion to Dismiss (related document(s)35, 45) filed by Diane L. McGimsey on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd.. (McGimsey, Diane) (Entered: 06/09/2017)
67	06/12/2017	Reply Memorandum of Law Supplemental Reply Memorandum of Law of Defendants in the Adversary Proceedings Listed on Exhibit A in Opposition to Plaintiffs' Motion for Leave to Amend and in Further Support of Those Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)35, 45) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7 Exhibit G # 8 Exhibit H) (Zulack, John) (Entered: 06/12/2017)
68	06/12/2017	Declaration of Brian Lacy in Further Support of the Motion of the Defendants Listed on Exhibit A to Dismiss on Grounds of Forum Non Conveniens (related document(s)45) filed by John F. Zulack on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Zulack, John) (Entered: 06/12/2017)
70*	08/09/2017	Document Under Seal Per Court Order. Foreign Representatives' Memorandum of Law in Opposition to Defendants' Consolidated Memorandum of Law and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (See Lead Adversary Proceeding No. 10-3496, Document No. 909 for Sealing Order) (related document(s)54) (Cantrell, Deirdra) (Entered: 10/05/2017)
71*	08/09/2017	Document Under Seal Per Court Order. Declaration of David J. Molton in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss Filed by David Molton

ECF Number	Date	Docket Text
		on behalf of Fairfield Sentry Limited (In Liquidation). (See Lead Adversary Proceeding No. 10-3496, Document No. 909 for Sealing Order)(related document(s)55) (Cantrell, Deirdra) (Entered: 10/05/2017)
76	04/02/2019	So Ordered Stipulation Signed On 4/2/2019. Re: Granting In Part And Denying In Part Moving Defendants Motions To Dismiss And Plaintiffs Motion For Leave To Amend. (Barrett, Chantel) (Entered: 04/02/2019)
77	05/02/2019	Notice of Appeal filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys. (Attachments: # 1 Schedule A # 2 Exhibit A # 3 Exhibit B # 4 Exhibit C)(Molton, David) (Entered: 05/02/2019)
82	09/03/2019	Amended Complaint against American Express Bank n/k/a Standard Chartered International (USA) Ltd., Banque Privee Edmond De Rothschild (Europe), Beneficial Owners of Accounts Associated with Reference AMEX SAIP, Beneficial Owners of Accounts Held in the Name of Banque Privee Edmond de Rothschild (Europe) 1-1000 Third Amended Complaint (related document(s)76) Filed by David Molton on behalf of Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof, Fairfield Sentry Limited (In Liquidation). (Molton, David)
87	03/20/2020	Declaration of Andrew J. Finn in Support of Defendants' Renewed Motion to Dismiss (related document(s)85) filed by Andrew John Finn on behalf of American Express Bank n/k/a Standard Chartered International (USA) Ltd.. (Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10 Exhibit 10 # 11 Exhibit 11 # 12 Exhibit 12 # 13 Exhibit 13 # 14 Exhibit 14 # 15 Exhibit 15 # 16 Exhibit 16) (Finn, Andrew)
88	03/23/2020	Supplemental Memorandum of Law of Luxembourg Defendants in Support of Defendants' Renewed Motion to Dismiss (related document(s)85) filed by John F. Zulack on behalf of Banque Privee Edmond De Rothschild (Europe). (Zulack, John)
89	03/23/2020	Statement /Notice of Filing of Exhibits to Supplemental Memorandum of Luxembourg Defendants in Support of Defendants' Renewed Motion to Dismiss (related document(s)85) filed by John F. Zulack on behalf of Banque Privee Edmond De Rothschild (Europe). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C) (Zulack, John)
96	02/25/2021	So Ordered Stipulation Signed On 2/25/2021. Re: Granting In Part And Denying In Part Moving Defendants Second Consolidated Motion To Dismiss (related document(s)85) (Barrett, Chantel)
98	03/03/2021	Final Judgment signed on 3/3/2021 Dismissing this Adversary Proceeding with Prejudice. (related document(s)96) (Lopez, Mary)

ECF Number	Date	Docket Text
100	03/29/2021	Notice of Appeal (related document(s)98, 96) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Schedule A # 2 Exhibit A # 3 Exhibit B # 4 Exhibit C # 5 Exhibit D # 6 Exhibit E # 7 Exhibit F # 8 Supplement Civil Action Cover Sheet)(Molton, David)

B. Designated entries from the docket of *Fairfield Sentry Limited, et al. v. Theodoor GGC Amsterdam, et al.*, 10-03496-cgm ((APN 10-03496) (Lead Case))

ECF Number	Date	Docket Text
922	10/21/2016	Motion to Amend / Notice of Motion of the Foreign Representatives For Leave To Amend filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation) Responses due by 1/13/2017,. (Attachments: # 1 Proposed Order) (Molton, David) (Entered: 10/21/2016)
923	10/21/2016	Memorandum of Law in Support of Motion For Leave To Amend (related document(s)922) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). Objections due by 1/13/2017, (Molton, David) (Entered: 10/21/2016)
924	10/21/2016	Declaration of David J. Molton in Support of Motion For Leave To Amend (related document(s)922, 923) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Schedule of Proposed Amended Complaints # 2 Exhibit B - Citigroup Global Markets Limited Proposed Amended Complaint_REDACTED # 3 Exhibit C - Huang Proposed Amended Complaint_REDACTED) (Molton, David) (Entered: 10/21/2016)
925	10/21/2016	Declaration of William Hare in Support of Motion For Leave To Amend (related document(s)923, 922) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Sample Statement of Claim # 2 Exhibit B - Sample Statement of Defence # 3 Exhibit C - Sample Notice of Application # 4 Exhibit D - Notice of Appeal Regarding Direction # 5 Exhibit E - Application for Summary Judgment, 20 September 2011 # 6 Exhibit F - Sentrys Memorandum and Articles of Association # 7 Exhibit G -Defendants Skeleton Argument # 8 Exhibit H - Funds' Skeleton Argument # 9 Exhibit I - Funds' Skeleton Argument in Appeal # 10 Exhibit J - Defendants submissions to the Privy Council # 11 Exhibit K - Funds submissions to the Privy Council # 12 Exhibit L - Excerpts from Privy Council Record on Appeal # 13 Exhibit M - Transcript of Summary Judgment Application, 10 October 2011 # 14 Exhibit N - Judgment_Order of Bannister J, 20

ECF Number	Date	Docket Text
		April 2011 # 15 Exhibit O - Judgment, dated 31 May 2011 # 16 Exhibit P - Judgment in respect of Application for Summary Judgment # 17 Exhibit Q - Privy Council Judgment, 16 April 2014 # 18 Exhibit R - Privy Council Order, 8 October 2014 # 19 Exhibit S - Judgment of Leon J dated 11 March 2016) (Molton, David) (Entered: 10/21/2016)
926	10/21/2016	Declaration of Gabriel Moss QC in Support of Motion For Leave To Amend (related document(s)922, 923) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Gabriel Moss QC - Curriculum Vitae) (Molton, David) (Entered: 10/21/2016)
929*	10/28/2016	Document Under Seal Per Court Order. Notice of Filing of Proposed Amended Complaint, See Case No. 10-3496 Document No. 909 Sealing Order Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation) , Fairfield Sigma Limited (In Liquidation) , Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof . (related document(s)915) (Cappiello, Karen). (Entered: 10/31/2016)
933*	11/14/2016	Document Under Seal Per Court Order. Memorandum of Law In Support of Motion For Leave To Amend Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), and Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)909, 923) (Suarez, Aurea). (Entered: 12/15/2016)
942*	11/14/2016	Document Under Seal Per Court Order. Declaration of David J. Molton in Support of Motion For Leave To Amend, Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (related document(s)924, 909) (Suarez, Aurea) (Entered: 01/11/2017)
930	12/02/2016	Letter to the Honorable Stuart M. Bernstein re: recent ECCA developments Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 11/30/2016)
931	12/02/2016	Letter to Judge Bernstein from Christopher R. Harris in Response to November 30, 2016 Letter of David Molton (related document(s)930) Filed by Christopher Harris on behalf of ABN AMRO Bank (Switzerland) A.G., ABN AMRO Bank N.V. f/k/a Fortis Bank (Nederland) N.V., ABN AMRO Fund Services (Isle of Man) Nominees Limited, f/k/a Fortis (Isle Of Man) Nominees Limited, ABN AMRO Fund Services Bank (Cayman) Ltd. f/k/a Fortis Bank Cayman Ltd., ABN AMRO Global Custody, ABN AMRO Global Custody Services N.V. f/k/a Fortis Global Custody Services N.V.. (Harris, Christopher) (Entered: 12/02/2016)

ECF Number	Date	Docket Text
959	01/13/2017	Motion to Dismiss Adversary Proceeding , dated January 13, 2017 filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/13/2017)
960	01/13/2017	Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/13/2017)
961	01/13/2017	Declaration of Thomas J. Moloney in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibits A-C # 2 Exhibits D-F) (Moloney, Thomas) (Entered: 01/13/2017)
962	01/13/2017	Declaration of Simon Mortimore, QC in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss, dated January 13, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibits A-B # 2 Exhibit C part 1 # 3 Exhibit C part 2 # 4 Exhibit C part 3 # 5 Exhibit C part 4 # 6 Exhibit C part 5) (Moloney, Thomas) (Entered: 01/13/2017)
963	01/13/2017	Declaration of Phillip Kite in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss, dated January 12, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibit A # 2 Exhibit B-N # 3 Exhibit O-P # 4 Exhibit Q-R) (Moloney, Thomas) (Entered: 01/13/2017)
964	01/13/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (related document(s)959, 922) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss).

ECF Number	Date	Docket Text
		(Moloney, Thomas) (Entered: 01/13/2017)
991	01/18/2017	Declaration of Prof. Nicolas Jeandin, dated January 11, 2017 (amended to add Exhibit B) (related document(s)964) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/18/2017)
1079	01/26/2017	Motion to Dismiss Adversary Proceeding filed by Dwight Yellen on behalf of Kiangsu Chekiang and Shanghai Residents (H.K.) Association. (Attachments: # 1 Certification of Dwight Yellen # 2 Exhibit A - Original Complaint # 3 Exhibit B - Affidavit of Service # 4 Exhibit C - Notice of Appearance # 5 Exhibit D - Proposed Amended Complaint # 6 Memorandum of Law) (Yellen, Dwight) (Entered: 01/26/2017)
1083	01/26/2017	Supplemental Memorandum of Law Concerning Preemption and Service (related document(s)959) filed by Michael Zeb Landsman on behalf of Sumitomo Trust & Banking Co., LTD - Alternative Investing Unit Global Markets. (Landsman, Michael) (Entered: 01/26/2017)
1107	01/27/2017	Motion to Dismiss Adversary Proceeding on Grounds of Forum Non Conveniens filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Attachments: # 1 Exhibit A) (Gottridge, Marc) (Entered: 01/27/2017)
1109	01/27/2017	Supplemental Memorandum of Law of Defendants in the adversary proceedings listed on exhibit A in the Opposition to Plaintiffs' Motion for Leave to amend and in support of those Defendants' Motion to Dismiss on grounds of Forum Non Conveniens (related document(s)959, 1107) filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Gottridge, Marc) (Entered: 01/27/2017)
1111	01/27/2017	Declaration of Phillip Kite in support of certain Defendants' Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in support of those Defendants' Motion to Dismiss on grounds of Forum Non Conveniens (related document(s)1107) filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Attachments: # 1 Exhibit A) (Gottridge, Marc) (Entered: 01/27/2017)
1131	01/27/2017	Motion to Dismiss Adversary Proceeding Based on the Doctrine of Res Judicata filed by Mark Nelson Parry on behalf of Deutsche Bank (Suisse) SA Geneve, Deutsche Bank Trust Company Americas. (Attachments: # 1 Appendix A) (Parry, Mark) (Entered: 01/27/2017)

ECF Number	Date	Docket Text
1133	01/27/2017	Supplemental Memorandum of Law in Support of Motion to Dismiss Based on the Doctrine of Res Judicata (related document(s)959, 922, 1131) filed by Mark Nelson Parry on behalf of Deutsche Bank (Suisse) SA Geneve, Deutsche Bank Trust Company Americas. (Attachments: # 1 Appendix A # 2 Appendix B) (Parry, Mark) (Entered: 01/27/2017)
1186	01/27/2017	Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of Defendants' Motion to Dismiss (related document(s)959) filed by Diane L. McGimsey on behalf of STANDARD CHARTERED INTERNATIONAL (USA) LTD., f/k/a AMERICAN EXPRESS BANK LTD.. (McGimsey, Diane) (Entered: 01/27/2017)
1336	03/31/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to Defendants' Consolidated Memorandum of Law and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints [REDACTED] (related document(s)959, 960) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/31/2017)
1337	03/31/2017	Declaration of David J. Molton in Further Support of Motion For Leave to Amend and in Opposition to Defendants Motion to Dismiss [REDACTED] (related document(s)1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/31/2017)
1338	03/31/2017	Declaration of Gabriel Moss QC in Further Support of Motion For Leave to Amend and in Opposition to Defendants' Motion to Dismiss (related document(s)1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Exhibit A - Part 1 # 2 Exhibit A - Part 2 # 3 Exhibit A - Part 3 # 4 Exhibit A - Part 4 # 5 Exhibit A - Part 5 # 6 Exhibit A - Part 6 # 7 Exhibit A - Part 7) (Molton, David) (Entered: 03/31/2017)
1352	04/04/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to Joinder of Defendant BRED Banque Populaire to (I) Memorandum of Law of Kiangsu Chekiang and Shanghai Residents (H.K.) Association and (II) Point I of Individual Supplemental Brief of Sumitomo Trust & Banking Co., Ltd. in Support of Motion to Dismiss and in Opposition to Plaintiffs' Motion to Amend Its Complaint and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)1079, 959, 1083, 960, 1201, 1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/04/2017)
1386	04/05/2017	Opposition Brief / Foreign Representatives' Memorandum of Law in Opposition to the Individual Supplemental Brief (Concerning Preemption and Service and in Further Support of Foreign

ECF Number	Date	Docket Text
		Representatives' Motion For Leave to Amend Complaints (related document(s)959, 1083, 1089, 960, 1099, 1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/05/2017)
1406	04/06/2017	Opposition Brief / Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)959, 1107, 1212, 1195, 1206, 1109, 1232, 960, 1202, 1279, 1246, 1254, 1276, 1235, 1197, 1336, 1252) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1407	04/06/2017	Declaration of David J. Molton in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)1406) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1408	04/06/2017	Declaration of William Hare in Support of the Liquidators' Opposition to Certain Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)1406) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Compendium - Part 2) (Molton, David) (Entered: 04/06/2017)
1411	04/06/2017	Opposition Brief /Foreign Representatives' Memorandum of Law in Opposition to Standard Chartered International (USA) Ltd.'s Supplemental Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of its Motion to Dismiss and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)959, 1107, 1109, 1186, 960, 1406, 1336) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1427	04/06/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1133, 959, 960, 1336, 1131) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1428	04/06/2017	Declaration of David J. Molton in Support of Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1427) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation).

ECF Number	Date	Docket Text
		(Molton, David) (Entered: 04/06/2017)
1429	04/06/2017	Declaration / Further Supplemental Declaration of Gabriel Moss QC in Opposition to PI Defendants' Supplemental Memorandum in Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1427) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1436	04/06/2017	Opposition Brief / Foreign Representatives' Memorandum of Law In Opposition to Memorandum of Law and in Further Support of Foreign Representatives' Motion for Leave to Amend Complaints (related document(s)1079, 959, 1223, 1224, 1178, 960, 1184, 1336, 1177, 1221) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1437	04/06/2017	Opposition Brief / CORRECTED Foreign Representatives' Memorandum of Law in Opposition to the Individual Supplemental Brief (Concerning Preemption and Service and in Further Support of Foreign Representatives' Motion For Leave to Amend Complaints (related document(s)1386, 1170, 1182, 1180, 959, 1212, 1195, 1223, 1190, 1211, 1206, 1193, 1134, 1224, 1135, 1083, 1178, 1210, 960, 1205, 1202, 1277, 1187, 1279, 1214, 1246, 1188, 1240, 1184, 1276, 1278, 1235, 1197, 1280, 1336, 1177, 1137, 1282, 1221) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/06/2017)
1438	04/11/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton (related document(s)1338, 1336) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/11/2017)
1456	05/26/2017	Second Declaration of Simon Mortimore, QC in Further Support of the Defendants' Motion to Dismiss, dated May 26, 2017 (related document(s)959) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibit A) (Moloney, Thomas) (Entered: 05/26/2017)
1457	05/26/2017	Reply Memorandum of Law in Further Support of Defendants' Motion to Dismiss (related document(s)959) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 05/26/2017)
1486	06/09/2017	Reply to Motion Supplement Reply Memorandum In Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata, dated June 9, 2017 (related document(s)959, 1131) filed by

ECF Number	Date	Docket Text
		Mark Nelson Parry on behalf of Defendants (as listed on Appendix A of the Supplement Reply Memorandum In Support of Defendants' Motion to Dismiss Based on the Doctrine of Res Judicata, dated June 9, 2017). (Attachments: # 1 Appendix A # 2 Appendix B) (Parry, Mark) (Entered: 06/09/2017)
1499	06/09/2017	Reply Memorandum of Law / Supplemental Reply Memorandum of Law of Defendants in the Adversary Proceedings Listed on Exhibit A in Opposition to Plaintiffs' Motion for Leave to Amend and in Further Support of those Defendants' Motion to Dismiss on Grounds of Forum Non Conveniens (related document(s)959, 922) filed by Emil A. Kleinhaus on behalf of Pictet & Cie. (Attachments: # 1 Exhibit - A # 2 Exhibit - B # 3 Exhibit - C # 4 Exhibit - D # 5 Exhibit - E # 6 Exhibit - F # 7 Exhibit - G # 8 Exhibit - H) (Kleinhaus, Emil) (Entered: 06/09/2017)
1502	06/09/2017	Declaration / Declaration of Brian Lacy in Further Support of the Motion of the Defendants Listed on Exhibit A to Dismiss on Grounds of Forum Non Conveniens filed by Emil A. Kleinhaus on behalf of Pictet & Cie. (Attachments: # 1 Exhibit - A # 2 Exhibit - B # 3 Exhibit - C) (Kleinhaus, Emil) (Entered: 06/09/2017)
1571	06/29/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated June 29, 2017 (related document(s)1457) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Attachments: # 1 Cleary Gottlieb Letter dated June 26, 2017)(Molton, David) (Entered: 06/29/2017)
1574	06/30/2017	Letter from Thomas J. Moloney to Judge Bernstein, dated June 30, 2017, in response to the Liquidators' June 29th letter seeking leave to file a sur-reply, etc. (related document(s)1571) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 06/30/2017)
1575	06/30/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated June 30, 2017 in response to the Letter from Thomas J. Moloney, dated June 30, 2017 (related document(s)1574) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 06/30/2017)
1576	07/10/2017	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated July 10, 2017, regarding the Liquidators' request for leave to file a sur-reply brief (related document(s)1575, 1571) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 07/10/2017)
1577	07/11/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton,

ECF Number	Date	Docket Text
		dated July 11, 2017 in response to the Letter from Thomas J. Moloney, dated July 10, 2017 (related document(s)1575, 1574, 1576, 1571) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 07/11/2017)
1591	08/29/2017	Letter from Thomas J. Moloney to Judge Bernstein, dated August 29, 2017 to bring the Court's attention to a recent decision by the Grand Court of the Cayman Islands, etc. and enclosing the referenced decision. Filed by Thomas J. Moloney on behalf of HSBC Defendants as listed in the Consolidated Proceeding and Individual Adversary Proceedings, as per Appendix A of the HSBC Defendants Supplemental Reply in Further Support of the Motion to Dismiss,. (Moloney, Thomas) (Entered: 08/29/2017)
1593	09/07/2017	Letter / Corrected Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated September 7, 2017 (related document(s)1592) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 09/07/2017)
1603	11/22/2017	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated November 22, 2017 Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 11/22/2017)
1604	12/04/2017	Letter in response to the Liquidators' November 22, 2017 Letter (related document(s)1603) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 12/04/2017)
1605	12/04/2017	Letter in response to Liquidators' November 22,2017 letter (related document(s)1603) Filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Gottridge, Marc) (Entered: 12/04/2017)
1606	12/07/2017	Letter to The Honorable Stuart M. Bernstein from David J. Molton responding to Defendants' letters of December 4, 2017 re: November 20, 2017 Judgment issued by the Eastern Caribbean Court of Appeal (related document(s)1605, 1604) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 12/07/2017)
1608	12/22/2017	Letter to Judge Bernstein by Thomas J. Moloney, dated December 22, 2017, to bring to the Court's attention a decision issued by the Privy Council on November 23, 2017 and attaching the decision, etc. Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 12/22/2017)

ECF Number	Date	Docket Text
1611	01/10/2018	Letter to Honorable Stuart M. Bernstein from David J. Molton responding to December 22, 2017 letter from Thomas J. Moloney (related document(s)1608) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 01/10/2018)
1626	01/23/2018	Letter to Honorable Stuart M. Bernstein from David Molton re: notice of supplemental authorities in connection with January 25, 2018 oral argument Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 01/23/2018)
1648	01/28/2018	Transcript regarding Hearing Held on 01/25/18 at 2:01 PM RE: Oral Argument on Plaintiffs' Motions for Leave to Amend Complaints and Defendants' Motions to Dismiss. Remote electronic access to the transcript is restricted until 4/30/2018. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 2/5/2018. Statement of Redaction Request Due By 2/20/2018. Redacted Transcript Submission Due By 3/1/2018. Transcript access will be restricted through 4/30/2018. (Cales, Humberto) (Entered: 01/31/2018)
1636	01/29/2018	Letter , dated January 29, 2018, from Thomas J. Moloney, in response to the Liquidators' letter, dated January 10, 2018, (related document(s)1611) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Entered: 01/29/2018)
1652	02/06/2018	Letter to The Honorable Stuart M. Bernstein from David J. Molton responding to Defendants' letter of January 29, 2018 re: DD Growth judgment (related document(s)1636) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/06/2018)
1653	02/07/2018	Letter to The Honorable Stuart M. Bernstein from Alan Kolod Re: Oral Argument Rebuttal (related document(s)1486, 1131) Filed by Alan Kolod on behalf of Deutsche Bank (Suisse) SA Geneve, Deutsche Bank Trust Company Americas. (Kolod, Alan) (Entered: 02/07/2018)
1656	02/15/2018	Memorandum of Law /Foreign Representatives' Supplemental Memorandum of Law Addressing Bankruptcy Code Section 561(D) Pursuant to February 1, 2018 Order of the Court (related document(s)1649) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/15/2018)

ECF Number	Date	Docket Text
		02/15/2018)
1658	02/15/2018	Declaration of William M. Lemon, dated February 15, 2018, in Support of Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. 561(d) (related document(s)1649) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F) (Moloney, Thomas) (Entered: 02/15/2018)
1659	02/16/2018	Memorandum of Law /Defendants' Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. 561(d) (related document(s)1657, 1649) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to Plaintiffs' Motion for Leave to Amend and in Support of the Defendants' Motion to Dismiss). (Moloney, Thomas) (Refiled Solely To Correct Appendix "A") Modified on 2/20/2018 (Richards, Beverly). (Entered: 02/16/2018)
1661	02/23/2018	Letter to The Honorable Stuart M. Bernstein from David J. Molton responding to the February 7, 2018 letter from counsel to the PI Defendants (related document(s)1653) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 02/23/2018)
1671	03/08/2018	Letter to The Honorable Stuart M. Bernstein from David J. Molton regarding decision in U.S. Supreme Court in Merit Management Group, LP v. FTI Consulting, Inc. Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 03/08/2018)
1687	03/23/2018	Letter , dated March 23, 2018, from Thomas J. Moloney to Judge Bernstein in response to the Liquidators' letter, dated March 8, 2018, (related document(s)1671) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Moloney, Thomas) (Entered: 03/23/2018)
1690	04/05/2018	Letter to The Honorable Stuart M. Bernstein from David Molton responding to Defendants' March 23, 2018 letter re: Merit Management (related document(s)1671, 1687) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/05/2018)
1692	04/27/2018	Letter to the Honorable Stuart M. Bernstein regarding Picard Defendants Appeal Brief Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 04/27/2018)
1693	04/27/2018	Letter , dated April 27, 2018, from Thomas J. Moloney to Judge

ECF Number	Date	Docket Text
		Bernstein in response to the Liquidators' letter, dated April 5, 2018, (related document(s)1690) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Attachments: # 1 Ex A # 2 Ex B # 3 Ex C # 4 Ex D # 5 Ex E)(Moloney, Thomas) (Entered: 04/27/2018)
1694	05/09/2018	Letter to Judge Bernstein from David Molton, dated May 9, 2018, responding to Defendants' April 27, 2018 letter (related document(s)1693) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 05/09/2018)
1695	05/10/2018	Letter , dated May 10, 2018, from Thomas J. Moloney to Judge Bernstein, in response to the Liquidators' April 27 letter (related document(s)1692) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Attachments: # 1 Exhibit Appellees' Second Circuit Brief)(Moloney, Thomas) (Entered: 05/10/2018)
1699	05/22/2018	Letter to the Honorable Stuart M. Bernstein from David J. Molton, dated May 22, 2018, attaching additional authority re: choice-of-law issues Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). (Molton, David) (Entered: 05/22/2018)
1704	05/29/2018	Letter from Thomas J. Moloney to Judge Bernstein, dated May 29, 2018, in response to the Liquidators' letter of May 22nd, etc. (related document(s)1699) Filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to Defendants Supplemental Memorandum of Law Concerning the Legislative History of 11 U.S.C. § 561(d)). (Moloney, Thomas) (Entered: 05/29/2018)
1723	08/06/2018	So Ordered Written Opinion Signed On 8/6/2018. Re: The Defendants Motions To Dismiss For Want Of Jurisdiction (related document(s)959, 922, 960, 923) (Barrett, Chantel) (Entered: 08/06/2018)
1743	12/06/2018	Memorandum Decision Signed On 12/6/2018 Granting In Part And Denying In Part Re: Defendants' Motions To Dismiss And Plaintiffs' Motion For Leave To Amend, (related document(s)959, 922, 960, 923). (Mercado, Tracey) (Entered: 12/06/2018)
2835	06/17/2019	Motion to Authorize / Motion to Unseal All Documents Sealed Pursuant to The Court's Seal Order Dated September 6, 2016, ECF No. 909 (related document(s) 909) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof with hearing to be held on 7/2/2019 at 10:00 AM at Courtroom 723 (SMB) Responses due by 6/25/2019,. (Attachments: # 1 Exhibit A - List of Adversary

ECF Number	Date	Docket Text
		Proceedings # 2 Exhibit B - Proposed Order # 3 Exhibit C - Anwar Confidentiality Order # 4 Exhibit D - Citco Confidentiality Letter) (Molton, David)
2839	06/28/2019	Statement of No Objection (related document(s) 2835) filed by David Molton on behalf of Charlotte Caulfield, solely in her capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Exhibit A - Proposed Order) (Molton, David)
2840	07/01/2019	Order Signed On 7/1/2019 Re: To Unseal All Documents Sealed Pursuant To The Court's Seal Order Dated September 6, 2016, Ecf No. 909 (Related Doc # 2835). (Barrett, Chantel)
2844	07/30/2019	Letter to Judge Bernstein from David J, Molton to request modification of Order unsealing documents (related document(s)2840) Filed by David Molton on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Proposed Amended Order)(Molton, David)
2845	07/30/2019	Statement / Notice of Settlement of Order (Adv. Pro. No. 10-03627) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. Objections due by 8/1/2019, (Attachments: # 1 Exhibit 1) (Molton, David)
2846	07/30/2019	Statement / Notice of Settlement of Order (Adv. Pro. No. 10-03777) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation). Objections due by 8/1/2019, (Attachments: # 1 Exhibit 1) (Molton, David)
2847	07/31/2019	Amended Order Signed On 7/31/2019 RE: To Unseal All Documents Sealed Pursuant To The Courts Seal Order Dated September 6, 2016, Ecf No. 909 . (related document(s)909) (Barrett, Chantel)
2851	08/01/2019	Statement / Amended Notice of Settlement of Order (Adv. Pro. No. 10-03627) (related document(s)2845) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. Objections due by 8/5/2019, (Attachments: # 1 Exhibit 1) (Molton, David)
2852	08/05/2019	So Ordered Stipulation Signed On 8/2/2019. Re: Effecting Waiver Of Remand Relief And Granting In Part And Denying In Part

ECF Number	Date	Docket Text
		Motions To Dismiss And Motion For Leave To Amend (Barrett, Chantel)
2853	08/05/2019	So Ordered Stipulation Signed On 8/5/2019. Re: Effecting Waiver Of Remand Relief And Staying Action Pending Appellate Ruling (Barrett, Chantel)
2854	08/08/2019	So Ordered Stipulated Order Signed On 8/7/2019 Re: Effecting Waiver Of Remand Relief And Granting In Part And Denying In Part Motions To Dismiss And Motion For Leave To Amend . (Barrett, Chantel)
2855	08/09/2019	Statement Stipulated Order of Dismissal (Adv. Pro. No. 11-02613) filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2856	09/04/2019	Stipulation Stipulated Order of Dismissal Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2859	09/16/2019	Proposed Stipulated Order Staying Action Pending Appellate Ruling Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David) Docket Text Added on 9/17/2019 (Bush, Brent)
2861	10/25/2019	Stipulation Stipulated Order of Dismissal Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2862	10/25/2019	So Ordered Stipulation signed on 10/25/2019. Re: Stipulated Order Of Dismissal (11-1245) (Barrett, Chantel)
2863	11/12/2019	Stipulation Stipulation and Proposed Order Setting Deadline to Respond to the Complaint Filed by David Molton on behalf of Charlotte Caulfield, solely in her capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2864	11/12/2019	Stipulation Corrected Stipulation and Proposed Order Setting Deadline to Respond to the Complaint (related document(s)2863) Filed by David Molton on behalf of Charlotte Caulfield, solely in her capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2865	11/18/2019	Stipulation Stipulated Order of Dismissal, re: Adv. Pro. No. 10-

ECF Number	Date	Docket Text
		03627 (SMB) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2866	11/18/2019	Stipulation Stipulated Order of Dismissal, re: Adv. Pro. No. 12-01763 (SMB) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2867	11/18/2019	Stipulation Corrected Stipulated Order of Dismissal, re: Adv. Pro. No. 10-03627 (SMB) (related document(s)2865) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2868	11/18/2019	Stipulation Corrected Stipulated Order of Dismissal, re: Adv. Pro. No. 12-01763 (SMB) (related document(s)2866) Filed by David Molton on behalf of Fairfield Sentry Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2869	11/18/2019	So Ordered Stipulation Signed In 11/18/2019. Re: Corrected Stipulated Order Of Dismissal (Barrett, Chantel)
2870	11/18/2019	So Ordered Stipulation Signed On 11/18/2019. Re: Corrected Stipulated Order Of Dismissal (Barrett, Chantel)
2871	12/19/2019	Stipulation Stipulation and Agreed Order to Vacate Entry of Default Filed by David Molton on behalf of Greig Mitchell, solely in his capacity as Foreign Representative and Liquidator thereof, Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Molton, David)
2872	12/19/2019	So Ordered Stipulation Signed On 12/19/2019. Re: Agreed Order To Vacate Entry Of Default (related document(s)2871) (Barrett, Chantel)
2873	01/15/2020	Motion to Amend and File the Further Proposed Amended Complaints in the Knowledge Actions filed by David Elsberg on behalf of Fairfield Sentry Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sentry Limited et al, Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit 1 to Notice of Motion to Amend - Proposed Order # 2 Memorandum of Law in Support of Motion for Leave to File the Further Proposed Amended Complaints in the Knowledge Actions # 3 Declaration of David Elsberg in Support of Motion # 4 Exhibit 1 to the Declaration of David Elsberg # 5 Exhibit 2 to the Declaration of David Elsberg # 6 Exhibit 3 to the Declaration of David Elsberg# 7 Exhibit 4 to the Declaration of David Elsberg # 8 Exhibit 5 to the Declaration of David Elsberg # 9 Exhibit 6 to the Declaration of David Elsberg # 10 Exhibit 7 to the Declaration of David Elsberg # 11 Exhibit 8 to the Declaration of David Elsberg # 12 Exhibit 9 to the Declaration

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		of David Elsberg # 13 Exhibit 10 to the Declaration of David Elsberg # 14 Exhibit 11 to the Declaration of David Elsberg # 15 Exhibit 12 to the Declaration of David Elsberg # 16 Exhibit 13 to the Declaration of David Elsberg # 17 Exhibit 14 to the Declaration of David Elsberg # 18 Exhibit 15 to the Declaration of David Elsberg # 19 Exhibit 16 to the Declaration of David Elsberg # 20 Exhibit 17 to the Declaration of David Elsberg # 21 Exhibit 18 to the Declaration of David Elsberg # 22 Exhibit 19 to the Declaration of David Elsberg # 23 Exhibit 20 to the Declaration of David Elsberg # 24 Exhibit 21 to the Declaration of David Elsberg # 25 Exhibit 22 to the Declaration of David Elsberg # 26 Exhibit 23 to the Declaration of David Elsberg # 27 Exhibit 24 to the Declaration of David Elsberg # 28 Exhibit 25 to the Declaration of David Elsberg # 29 Exhibit 26 to the Declaration of David Elsberg # 30 Exhibit 27 to the Declaration of David Elsberg # 31 Exhibit 28 to the Declaration of David Elsberg # 32 Exhibit 29 to the Declaration of David Elsberg # 33 Exhibit 30 to the Declaration of David Elsberg # 34 Exhibit 31 to the Declaration of David Elsberg) (Elsberg, David)
2874	01/22/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated January 22, 2020, requesting a status conference on Plaintiffs' motion for leave to amend (related document(s)2873) Filed by Thomas J. Moloney on behalf of Defendants (as listed in Exhibit A to the January22, 2020 Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney requesting a status conference on Plaintiffs' motion for leave to amend).(Attachments: # 1 Exhibit A - Joining Defendants # 2 Exhibit B - Stipulating Defendants # 3 Exhibit C - Email chain # 4 Exhibit D – Email chain)(Moloney, Thomas)
2875	01/27/2020	Response to Defendants' January 22, 2020 Letter (related document(s)2874) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A # 2 Exhibit B) (Elsberg, David)
2876	01/28/2020	Transcript regarding Hearing Held on 05/16/19 At 10:09 AM RE: Conference Re Status Of Stay Of Certain Remand-Ordered Actions (Applies To Adv. Proc. Nos. 10-03626, 10-03627 & 10-03777). Remote electronic access to the transcript is restricted until 4/27/2020. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 2/4/2020.Statement of Redaction Request Due By 2/18/2020. Redacted Transcript Submission Due By 2/28/2020. Transcript access will be restricted through 4/27/2020. (Ramos, Jonathan)

ECF Number	Date	Docket Text
2878	01/29/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated January 29, 2020, regarding briefing on Plaintiffs' Motion for Leave to Amend (related document(s)2875, 2874, 2873) Filed by Thomas J. Moloney on behalf of Defendants (as listed in Exhibit A to the January 22, 2020 Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney requesting a status conference on Plaintiffs' motion for leave to amend). (Moloney, Thomas)
2885	02/27/2020	Transcript regarding Hearing Held on 02/25/2020 At 11:01 AM RE: Motions For Leave To File Further Proposed Amended Complaints. Remote electronic access to the transcript is restricted until 5/27/2020. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 3/5/2020. Statement of Redaction Request Due By 3/19/2020. Redacted Transcript Submission Due By 3/30/2020. Transcript access will be restricted through 5/27/2020. (Ramos, Jonathan)
2887	03/03/2020	Letter to the Honorable Stuart M. Bernstein from the Parties re: Scheduling Matters Discussed at Feb. 25, 2020 Conference Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A to Letter # 2 Exhibit B to Letter # 3 Exhibit C to Letter # 4 Exhibit D to Letter)(Elsberg, David)
2892	03/13/2020	Letter submitting a Proposed Scheduling Order for Defendants' Consolidated Motion to Dismiss (related document(s)2887) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited(sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Attachments: # 1 Exhibit A - Defendants' Proposed Order# 2 Exhibit B - Plaintiffs' Proposed Order # 3 Exhibit C - Redline)(Moloney, Thomas)
2902	03/16/2020	Motion to Dismiss Adversary Proceeding filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16, 2020). Responses due by 4/6/2020, (Moloney, Thomas)

ECF Number	Date	Docket Text
2903	03/16/2020	Memorandum of Law \ Consolidated Memorandum of Law in Support of Defendants' Renewed Motion to Dismiss Pursuant to 11 U.S.C. 561(d), 546(e), and 546(g) and for Insufficient Service of Process Under the Hague Service Convention (related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16,2020). (Moloney, Thomas)
2904	03/16/2020	Declaration \ Attorney Declaration of Joseph M. Kay In Support of Defendants' Renewed Motion to Dismiss, dated March 16, 2020(related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16, 2020). (Attachments: # 1 Exhibit A # 2 Exhibit B # 3 Exhibit C # 4 Exhibit D # 5 Exhibit E # 6 Exhibit F # 7Exhibit G # 8 Exhibit H # 9 Exhibit I) (Moloney, Thomas)
2905	03/16/2020	Declaration of Prof. Nicolas Jeandin, dated March 16, 2020, with Exhibits A and B (related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16, 2020). (Moloney, Thomas)
2906	03/16/2020	Declaration \ Attorney Declaration of Thomas J. Moloney in Support of Defendants' Renewed Motion to Dismiss, dated March 16,2020 (related document(s)2902) filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK)Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited.(Attachments: # 1 Exhibit 1 # 2 Exhibit 2 # 3 Exhibit 3 # 4 Exhibit 4 # 5 Exhibit 5 # 6 Exhibit 6 # 7 Exhibit 7 # 8 Exhibit 8 # 9 Exhibit 9 # 10Exhibit 10 # 11 Exhibit 11 # 12 Exhibit 12 # 13 Exhibit 13 # 14 Exhibit 14 # 15 Exhibit 15 # 16 Exhibit 16 # 17 Exhibit 17 # 18 Exhibit 18 # 19Exhibit 19 # 20 Exhibit 20 # 21 Exhibit 21 # 22 Exhibit 22 # 23 Exhibit 23 # 24 Exhibit 24 # 25 Exhibit 25 # 26 Exhibit 26 # 27 Exhibit 27 # 28Exhibit 28 # 29 Exhibit 29 # 30 Exhibit 30 # 31 Exhibit 31 # 32 Exhibit 32) (Moloney, Thomas)
2910	03/18/2020	Motion to Dismiss Adversary Proceeding (refiled for purpose of adding case caption list in Appendix A) (related document(s)2902) filed by Thomas J. Moloney on behalf of Defendants (as set forth in

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		Appendix A to the Consolidated Motion to Dismiss Brief filed on March 16,2020). Responses due by 4/6/2020, (Moloney, Thomas)
2926	03/20/2020	So Ordered Scheduling Order Signed On 3/19/2020. (Barrett, Chantel)
3012	03/24/2020	Letter to the Honorable Stuart M. Bernstein from Plaintiffs re: Scheduling Matters Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A to Letter # 2 Exhibit B to Letter # 3 Exhibit C to Letter # 4 Exhibit D to Letter # 5 Exhibit E to Letter)(Elsberg, David)
3014	03/24/2020	Response Memorandum of Law on the Constructive Trust Jurisdictional Issue (related document(s)2890) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Elsberg, David)
3015	03/24/2020	Declaration of David Elsberg in Support of Plaintiffs' Response Memorandum of Law on the Constructive Trust Jurisdictional Issue(related document(s)3014) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A) (Elsberg, David)
3020	03/25/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated March 25, 2020, re: schedule for Consolidated Motion to Dismiss, etc. (related document(s)3012, 2910) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA(sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA,HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited.(Attachments: # 1 Exhibit A)(Moloney, Thomas)
3022	03/27/2020	Letter to Honorable Stuart M. Bernstein from David Elsberg dated March 27, 2020 re: Motion to Dismiss Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Attachments: # 1 Exhibit A # 2 Exhibit B)(Elsberg, David)
3023	03/31/2020	Memorandum of Law Defendants' Reply Memorandum of Law on the Constructive Trust Bar Issue (related document(s)2890,

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		3014)filed by Bruce Kaplan on behalf of DGAM Alternative Strategy Fund II, SPC Cell A, DGAM Alternative Strategy Fund II, SPC Cell B, DGAM Alternative Strategy Fund L.P., DGAM Asset Allocation Fund L.P.. (Attachments: # 1 Appendix A # 2 Appendix B # 3 Appendix C # 4Appendix D) (Kaplan, Bruce)
3024	04/02/2020	Memorandum of Law on the Constructive Trust Bar Issue (related document(s)2890) filed by Bruce Kaplan on behalf of DGAM Alternative Strategy Fund II, SPC Cell A, DGAM Alternative Strategy Fund II, SPC Cell B, DGAM Alternative Strategy Fund L.P., DGAM Asset Allocation Fund L.P.. (Attachments: # 1 Appendix A - Joining Defendants # 2 Appendix B - Transcript of February 25, 2020 Conference #3 Appendix C - Sources of Proposed "New" Knowledge Allegations # 4 Exhibit D - Comparison of "New" Allegations) (Kaplan, Bruce)
3026	04/03/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated April 3, 2020, enclosing joint email regarding the parties' respective positions on scheduling for Defendants' renewed motion to dismiss (related document(s)2910) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank(Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey)Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Moloney, Thomas)
3028	04/14/2020	Scheduling Order Signed On 4/14/2020 Re: Which Set Forth Deadlines For Defendants Initial Consolidated Brief And Individual Supplemental Briefs On The First Threshold Issues, And Instructed That The Parties Shall Jointly Contact The Court On March 24, 2020 To Schedule A Telephone Status Conference Concerning The Matters Not Resolved By The Interim Scheduling Order, (related document(s)2906,2926, 3020, 2905, 2904, 3022). (Mercado, Tracey)
3029	05/07/2020	Letter re Proposed Scheduling Order Filed by David Molton on behalf of Greig Mitchell, solely in his capacity as Foreign Representative and Liquidator thereof, Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation), Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof. (Attachments: # 1 Exhibit Proposed Scheduling Order)(Molton, David)
3030	05/07/2020	Scheduling Order Signed On 5/7/2020. (Barrett, Chantel)
3033	05/29/2020	Memorandum of Law in Opposition to Defendants' Renewed

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		Motion to Dismiss (related document(s)2910) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Elsberg, David)
3034	05/29/2020	Declaration of David J. Molton in Support of the Liquidators' Memorandum of Law in Opposition to Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit 1 to the Declaration # 2 Exhibit 2 to the Declaration # 3Exhibit 3 to the Declaration) (Elsberg, David)
3036	06/19/2020	Consolidated Reply Memorandum of Law in Further Support of Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by Thomas J. Moloney on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Reply Brief filed on June 19, 2020). (Attachments: # 1 Appendix A # 2 Appendix B) (Moloney, Thomas) Modified on 6/23/2020 (Richards, Beverly).
3037	06/19/2020	Declaration /Attorney Declaration of Christine M. Jordan in Further Support of Defendants' Renewed Motion to Dismiss (related document(s)2910) filed by Christine Marie Jordan on behalf of Defendants (as set forth in Appendix A to the Consolidated Motion to Dismiss Reply Brief filed on June 19, 2020). (Attachments: # 1 Exhibit A # 2 Exhibit B) (Jordan, Christine)
3038	07/03/2020	Letter Requesting Leave to file Sur-Reply Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Elsberg, David)
3041	07/08/2020	Letter in response to Liquidators' July 3, 2020 letter (related document(s)3038) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank(Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey)Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Moloney, Thomas)
3044	07/27/2020	Letter addressed to Honorable Stuart M. Bernstein regarding Notice of Supplemental Authority (related document(s)2902) Filed by Marc

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		J. Gottridge on behalf of Barclays Bank (Suisse) S.A., Barclays Bank S.A., Barclays Private Bank & Trust Ltd.. (Attachments: # 1 Exhibit A: Convergen Opinion)(Gottridge, Marc)
3045	07/31/2020	Letter in Response to Defendants' July 27, 2020 Letter (related document(s)3044) Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1Appendix A - Relevant Adversary Proceedings)(Elsberg, David)
3046	08/10/2020	Written Opinion Signed On 8/10/2020. Re: Memorandum Decision Denying Plaintiffs Motion For Leave To File Further Proposed Amended Complaints In Certain Actions (related document(s)2873) (Barrett, Chantel)
3047	08/18/2020	Order Signed On 8/18/2020 Re: Denying Plaintiffs Motion For Leave To File Further Proposed Amended Complaints In The Twelve Actions (As Defined Below) (Related Doc # 2873) . (Barrett, Chantel)
3048	09/01/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated September 1, 2020, regarding recent decision in In re Nine West LBO Securities Litigation Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services(Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited. (Moloney, Thomas)
3049	09/09/2020	Letter in Response to Defendants' September 1, 2020 Letter (related document(s)3048) Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1Appendix A)(Elsberg, David)
3051	09/15/2020	Letter to Hon. Stuart M. Bernstein from Thomas J. Moloney, dated September 15, 2020, in response to the Liquidators' September 9,2020 letter (related document(s)3049) Filed by Thomas J. Moloney on behalf of HSBC Bank Bermuda Limited, HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings(UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg)

ECF Number	Date	Docket Text
		SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited), Robinson & Co., Somers Nominees (Far East) Limited.(Moloney, Thomas)
3053	09/21/2020	Letter in Further Support of the Liquidators' July 31, 2020 September 9, 2020 Letter Response (related document(s)3044 3048,3051) Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Appendix A - Relevant Adversary Proceedings)(Elsberg, David). Modified on 9/22/2020 to correct related document number and correct docket text. (Gomez, Jessica).
3061	11/30/2020	Transcript regarding Hearing Held on 03/27/2020 At 12:30 PM RE: Conference. Remote electronic access to the transcript is restricted until 3/1/2021. The transcript may be viewed at the Bankruptcy Court Clerks Office. [Transcription Service Agency: Veritext Legal Solutions.]. (See the Courts Website for contact information for the Transcription Service Agency.). Notice of Intent to Request Redaction Deadline Due By 12/7/2020. Statement of Redaction Request Due By 12/21/2020. Redacted Transcript Submission Due By 1/4/2021. Transcript access will be restricted through 3/1/2021. (Ramos, Jonathan)
3062	12/14/2020	Written Opinion Signed On 12/14/2020. Re: Memorandum Decision Granting In Part And Denying In Part Defendants Renewed Motion To Dismiss (related document(s)2902, 2910) (Barrett, Chantel)
3064	12/23/2020	Order Signed On 12/23/2020 Re: Extending Time To Settle Or Submit Orders. (Barrett, Chantel)
3065	01/11/2021	Letter to Hon. Stuart M. Bernstein from Nowell D. Bamberger, dated January 11, 2021, regarding former law clerk Filed by Nowell Bamberger on behalf of BNY AIS Nominees Limited, BNY Mellon International Ltd., HSBC Bank Bermuda Limited, HSBC Bank USA NA(sued as HSBC Bank USA), HSBC Institutional Trust Services (Asia) Limited, HSBC International Trustee Limited, HSBC Latin America Holdings (UK) Limited, HSBC Private Bank (C.I.) Limited (sued as HSBC Private Bank (Guernsey) Ltd), HSBC Private Bank (Suisse) SA,HSBC Securities Services (Luxembourg) SA, HSBC Trust Company AG (f/k/a HSBC Guyerzeller Trust Company AG), Murdoch and Company, PFPC Bank Limited, PFPC Bank Limited a/k/a PFPC International Bank Limited, PNC International Bank Limited, PNC International Bank Limited a/k/a BNY Mellon International Bank, Republic Nominees Limited (f/k/a HSBC Private Banking Nominee 1 (Jersey) Limited),Robinson & Co., Somers Nominees (Far East) Limited, The Bank Of New York

ECF Number	Date	Docket Text
		Mellon. (Bamberger, Nowell)
3066	01/13/2021	Letter to the Hon. Stuart M. Bernstein from the Parties re: Service of HSBC Private Bank (Suisse) S.A. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation).(Elsberg, David)
3067	01/27/2021	Order Signed On 1/27/2021 Re: Further Extending Time To Settle Or Submit Orders. (Barrett, Chantel)
3071	02/08/2021	Letter to the Hon. Stuart M. Bernstein from the Parties re: Competing Settle Orders Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit1 (Plaintiffs' Proposed Order) # 2 Exhibit 2 (Feb. 1, 2021 email) # 3 Exhibit 3 (Defendants' Proposed Order) # 4 Exhibit 4 (Jan. 29, 2021 email) #5 Exhibit 5 (Redline of Proposed Orders))(Elsberg, David)
3072	02/17/2021	Letter to Hon. Stuart M. Bernstein from Nowell D. Bamberger, dated February 17, 2021, requesting reconsideration of one discrete aspect of Fairfield III Decision (related document(s)3062) Filed by Nowell Bamberger on behalf of HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA. (Attachments: # 1 Appendix A - Moving Defendants # 2Appendix B - Additional Counsel # 3 Appendix C - Compendium of Foreign Authorities)(Bamberger, Nowell)
3073	02/17/2021	Letter Memorandum in Opposition to Defendants' Motion for Reargument. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A: List of Applicable Proceedings # 2 Exhibit B: Joint Letter from Plaintiffs & Defendants to Hon. Stuart M. Bernstein, U.S.B.J. (Feb. 8, 2021) # 3Exhibit C: Letter from Defendants to Hon. Vernon S. Broderick, U.S.D.J., Fairfield Sentry Ltd. (In Liquidation) v. Citibank NA London, No. 19-cv-3911 (S.D.N.Y. Feb. 4, 2021))(Elsberg, David)
3074	02/19/2021	Letter to Hon. Stuart M. Bernstein, dated February 19, 2021, re: Settlement of Implementing Orders Filed by Marc J. Gottridge on behalf of Barclays Bank (Suisse) S.A.. (Attachments: # 1 Exhibit 1 - Defendants' Proposed Order # 2 Exhibit 2 - Redline Comparison with Plaintiffs' Proposed Order)(Gottridge, Marc)
3075	02/19/2021	Letter Memorandum re: Competing Settle Orders. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit A (Plaintiffs' Proposed

ECF Number	Date	Docket Text
		Order) # 2 Exhibit B (Redline of Proposed Orders) # 3 Exhibit C (3/27/2020 2:02 PM E-mail) # 4 Exhibit D (12/31/2020 Draft of Plaintiffs' Proposed Order))(Elsberg, David)
3076	02/22/2021	Order signed on 2/22/2021 Regarding Submission of Orders Resolving the Court's December 14, 2020 Memorandum Decision Granting in Part and Denying in Part Defendants' Renewed Motion to Dismiss (related document(s)3074, 3062). (Gomez, Jessica)
3080	02/23/2021	So Ordered Written Opinion Signed On 2/23/2021. Re: Memorandum Decision And Order Denying Motion For Reconsideration(related document(s)3072, 3062) (Barrett, Chantel)
3086	03/08/2021	Letter to Hon. Cecelia G. Morris from Nowell D. Bamberger, dated March 8, 2021, regarding stipulated order extending time to move for interlocutory appeal (related document(s)3080) Filed by Nowell Bamberger on behalf of HSBC Bank USA NA (sued as HSBC Bank USA), HSBC Private Bank (Suisse) SA, HSBC Securities Services (Luxembourg) SA. (Attachments: # 1 Stipulated [PROPOSED] Order # 2Exhibit A to Stipulated [PROPOSED] Order)(Bamberger, Nowell)
3089	03/08/2021	Stipulated order signed on 3/8/2021 granting extension of defendants deadline to file notice of appeal, extended to and including thirty-five (35) days from the date of the entry of the Reconsideration Order. (Kinchen, Gwen)
3090	03/16/2021	Letter to Hon. Cecelia G. Morris from David Elsberg, dated March 16, 2021, regarding Plaintiffs' proposed demonstratives for use at the March 17, 2021 conference. Filed by David Elsberg on behalf of Fairfield Lambda Limited (In Liquidation), Fairfield Sentry Limited (In Liquidation), Fairfield Sigma Limited (In Liquidation). (Attachments: # 1 Exhibit 1 - Hand-Up #1 (Case Chronology) # 2 Exhibit 2 - Hand-Up#2 (Action Status Chart))(Elsberg, David)

Dated: April 9, 2021
New York, New York

Respectfully submitted,

BROWN RUDNICK LLP

/s/ David J. Molton

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